

Model Theory - Lecture 8 - Applications to the theory of algebraically closed fields

In particular, we will prove

Theorem ACF eliminates quantifiers

Theorem ACF_p is complete
 ACF_0

Theorem Hilbert's Nullstellensatz

Theorem (Ax) Every polynomial function

$p: \mathbb{C}^n \rightarrow \mathbb{C}^n$ that is injective

is also surjective



Notice this last result is important, for example, because it started the theory of minimality and the theory of σ -minimality

Exercise let \mathcal{L} be a finite language and \mathcal{Q} a theory on \mathcal{L}

(\heartsuit). if, given M, N ω -saturated models, the set of finite partial isomorphisms between them has the B&F, then \mathcal{Q} has QE

(\clubsuit). if, given M, N ω -saturated models, there is a family of partial isomorphisms with B&F, then \mathcal{Q} is complete

Notation • the theory of ACF is the extension of the theory of fields

where we add axioms of the form

$$\exists x \ p(x) = 0 \quad \text{for all } p(x) \in \mathbb{Z}[X]$$

- Analogously, ACF_p is $ACF \cup \{ \underbrace{1+1+\dots+1}_{p\text{-times}} = 0 \}$, with $\overset{\mathbb{N}}{p}$ prime
- ACF_0 is $ACF \cup \{ 1(1+1+\dots+1=0) \mid \text{for all } p \text{ prime?} \}$

Remark • \mathbb{Q} is not a model of ACF , ACF_p , ACF_0 ,

- \mathbb{C} is a model of ACF and ACF_0 , so is $\mathbb{C}[X]$
- $\overline{F_p}$ (algebraic closure of F_p) is a model of ACF_p

Theorem ACF eliminates quantifiers

Proof We want to use (\Leftarrow) Claim we can extend the following

partial isomorphism $M \leftarrow \omega\text{-sat} \rightarrow \mathcal{A}$ to an $a \in |M|$
 $(a) \longrightarrow (b)$

Notice that the characteristic of the fields is the same, say p

So, the assumption tells us $\mathbb{F}_p(a) \cong \mathbb{F}_p(b)$.
 $\cap \quad \cap$
 $M \quad N$

There are two cases:

-) a is algebraic over $\mathbb{F}_p(a)$; we consider $\mathbb{F}_p(a)[X]$

Let $q(x)$ be the minimal polynomial of a Notice

$$\mathbb{F}_p(a)[X] \cong \mathbb{F}_p(b)[X]$$

and let φ be that isomorphism. Then,

$$\mathbb{F}_p(a)[X] / (q(x)) \cong \mathbb{F}_p(b)[X] / (\varphi(q(x)))$$

\cong

$$\mathbb{F}_p(\bar{a}) \subset M$$

(\hookrightarrow) this is the tuple (\bar{a}) with a concatenated

Since \mathcal{A} is ω -saturated, $\varphi(q(x))$ has a zero in \mathcal{A} , call it b

so $\mathbb{F}_p(\bar{b}) \cong \mathbb{F}_p(b)[X] / (\varphi(q(x)))$, and we can extract the

new partial isomorphism

-) a is transcendental. Then, $\mathbb{F}_p(a)(a) \cong \mathbb{F}_p(a)[X] \cong \mathbb{F}_p(b)[X]$,

so we choose a transcendental b ^(*) over $\mathbb{F}_p(b)$ and link a to it

Theorem ACF_0 is complete (Same for ACF_p)

Proof idea We will prove a formula is true in a model iff it is true in every model

\rightarrow (previous theorem)

Proof Take φ , eliminate the quantifiers and get $\bar{\varphi}$. Notice $\bar{\varphi}$ is just "numbers" and we check it in the base field



Proposition If a theory \mathcal{P} eliminates quantifiers and it has a model that embeds in every other model, then \mathcal{P} is complete

Proof Same argument as above

(Lefschetz principle)
Corollary Let σ be a formula in \mathcal{L}_F ^{language of fields}. The following are equivalent

1) $\mathbb{C} \models \sigma$,

2) $F \models \sigma$ for all F algebraically closed and of characteristic 0,

3) \exists an infinite number of primes p , σ is true in all algebraically closed fields of characteristic p

Proof $(1 \Leftrightarrow 2)$ Follows from completeness

$(1 \Rightarrow 3)$ If σ is true, then by compactness we only use a finite

number of $\{ \neg(1+1+\dots+1=0) \mid p \text{ prime} \}$. The complement works

$(3 \Rightarrow 1)$ Assume $\mathbb{C} \not\models \sigma$. Then $\mathbb{C} \models \neg\sigma$. This produces a co-finite

set of primes I , and must intersect J \square

Theorem (Ax) Let $f: \mathbb{C}^m \rightarrow \mathbb{C}^n$ polynomial and injective f is surjective

Proof Let σ be $\forall y_1, \dots, y_n \in \mathbb{C} (\exists z_1, \dots, z_m \in \mathbb{C} (\bigwedge_{1 \leq l \leq n} f_l(z_1, \dots, z_m) = y_l))$ (*)

Notice this applies to $f_p^e: \mathbb{F}_p^m \rightarrow \mathbb{F}_p^n$ as well, with $f_p^e \equiv f \pmod{p^e}$

Then, the statement is trivial as this is finite stuff. Then,

$$\bigcup_{e \in \mathbb{N}} \mathbb{F}_p^e \xrightarrow{f^e} \bigcup_{e \in \mathbb{N}} \mathbb{F}_p^e,$$

where, of course $\overline{\mathbb{F}_p} = \bigcup_{e \in \mathbb{N}} \mathbb{F}_p^e$, and f^e is the union of the f_p^e

This concludes, because, for every p prime, σ is true in $\overline{\mathbb{F}_p}$,

so the Lefschetz principle (3 \Rightarrow 1) gives the main result

Proposition, (Weak Nullstellensatz) Let K be algebraically closed. Let

$p_i(x_1, \dots, x_n) = 0$, for $1 \leq i \leq h$, for some h finite, be polynomial

equations. If this has a solution in L (extending K), then it

has a solution in K .

Proof We can assume L is algebraically closed (we can close it). Then,

by model completeness we concluded \blacksquare

Theorem (Nullstellensatz) If f is a polynomial on K algebraically closed

and f_i is a finite family of polynomials such that

$$\forall x \quad f_i(x) = 0 \implies f(x) = 0,$$

then there exists a number l such that $f^l \in \langle f_i | i \rangle$ in $K[x]$.

Proof: (Rabinowitz trick) By the assumption, we know that

$\{f_1, f_2, \dots, f_n, 1-yf\}$ have no common zero in K . Since

K is algebraically closed, they have no common zero in any extension

of K by weak-Nullstellensatz. Then $\langle f_1, \dots, f_n, 1-yf \rangle$ must be

the same as $\langle 1 \rangle$ in $K[x][y]$, otherwise we extend it to maximal

and $K[x][y] / \langle f_1, \dots, f_n, 1-yf \rangle$ is a field extending K that has such a root we

proved wasn't there. Then, $\sum a_i f_i^{x_i} + a_{n+1} (1-yf)^e = b$ (invertible)

The argument concludes since $K[x](y)$ is a field and $y = \frac{1}{f} - 1$. \blacksquare